|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| ***Broker details*** | | | | | | |
| Name |  | | | | Entity type | Sole Trader/Partnership/Ltd Co/LLP |
| Trading as |  | | | | FCA NUMBER |  |
| Contact |  | | | | Business type |  |
| Position/capacity |  | | | | Co.Reg.No |  |
| Contact phone |  | | | | Principal activity |  |
| Contact email |  | | | | Trading since |  |
|  |  | | | | No. employees |  |
| Address |  | | | | Previous Address |  |
|  | | | |  |
|  | | | |  |
| Postcode |  | | | | Post Code |  |
| Time at this address |  | Years |  | Months |  | |

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| **KINGSLEY ASSET FINANCE LTD Broker Application Form** | |
| Sales Person |  |

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| ***Introducer Permissions – Data Protection Consent*** | | | |
| Kingsley Asset Finance Ltd confirms that they have complied with all requirements under the Data Protection Act and are entitled to disclose information about the applicant(s) and authorise us to search and record information about them. | | | |
| The Following Individuals (Directors/Partners/Principals): | | | |
| Full Name |  | Permission |  |
| Full Name |  | Permission |  |
| Full Name |  | Permission |  |
| Full Name |  | Permission |  |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Director/Partner details*** | | | | | | | | | |
| **Director/Partner 1** | | | | | **Director/Partner 2** | | | | |
| Name |  | | | | Name |  | | | |
| Phone |  | | | | Phone |  | | | |
| Email |  | | | | Email |  | | | |
| Date of Birth |  | | | | Date of Birth |  | | | |
| Address |  | | | | Address |  | | | |
|  | | | |  | | | |
|  | | | |  | | | |
| Postcode |  | | | | Postcode |  | | | |
| Time at this address |  | Years |  | Months | Time at this address |  | Years |  | Months |
| Shareholding % |  | | | | Shareholding % |  | | | |

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| **Background** |
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| **Any previous broker experience/knowledge** |
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| **Asset Types** |
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| **Current Funders** |
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| **Own Book Details** |
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| ***Recommendation*** |
|  |

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| --- | --- | --- | --- |
| ***Ancillary Information in support of this proposal (✓ applicable items)*** | | | |
| Audited Accounts |  | Proof of ID |  |
| OFT/AML Registration |  | Proof of Address |  |
| CCL |  |  |  |
| DPA Registration |  |  |  |